
ANDREW J. NORRIS, J.D.
Captain, U.S. Coast Guard (retired)

+1 (401) 871-7482

E-mail: anorris@tradewindmaritimeservices.com

CURRENT OCCUPATION

Maritime attorney and consultant, leveraging my 26 years of active duty U.S. Coast Guard and U.S. Navy experience, plus civilian litigation expertise, to best assist clients to navigate regulatory, legal, and maritime governance and policy challenges.

CAREER HIGHLIGHTS

2017-June 2018

Trial Attorney, U.S. Coast Guard Suspension & Revocation National Center of Expertise

- Litigate on behalf of U.S. government in actions before Administrative Law Judges to suspend or revoke the credentials of licensed mariners who engage in acts of misconduct, negligence, and the like.

Fall 2016

Visiting Professor of Maritime Policy, U.S. Coast Guard Academy

- Co-taught Contemporary Topics in Global Maritime Affairs course with former Coast Guard Commandant Thad Allen; also taught Maritime Law Enforcement course.

2010-2016

Senior Coast Guard Officer and Professor, Naval War College and National Defense University; faculty member, International Law Department, Naval War College

- Served as the Robert J. Papp, Jr., Professor of Maritime Security.
- Provided graduate-level Socratic instruction in Joint Military Operations (at the Naval War College) and National Security Studies (at the National Defense University) to seminar group of senior U.S. and foreign military/civilian students.
- Provided additional graduate-level instruction on law of the sea, maritime law enforcement, and rules on the use of force.
- As the senior service representative, served and protected Coast Guard equities at DOD commands; served in commanding officer capacity to Coast Guard student contingent.

2012-2016

Collateral duty appellate court judge

- Selected by the Coast Guard Judge Advocate General to serve as a judge on the Coast Guard Court of Criminal Appeals; heard oral arguments, reviewed records of trial, ruled on appellate issues relating to Coast Guard courts-martial, wrote published opinions of court.

2006-2010

Staff Judge Advocate, Fourteenth Coast Guard District, Honolulu, HI

- Principal legal advisor to the district commander and other senior leaders in a 12.2 million square mile area of responsibility in the western and central Pacific Ocean. Provided advice across the full spectrum of Coast Guard missions, including the living marine resource, marine environmental protection, and law enforcement missions. Served as legal advisor to Captain of the Port, Officer in Charge of Marine Inspection, and Federal On Scene Coordinators in Honolulu, Hawaii and Apra, Guam. Oversaw staff of 4 attorneys, 2 paralegals; managed \$35K budget.
- Oversaw all agency involvement with and utilization of such federal statutes as the Magnuson-Stevens Act, the National Marine Sanctuaries Act, the Marine Mammal Protection Act, and the Endangered Species Act.
- Oversaw all rule-making activities; defended in court against APA, NEPA, other challenges.
- Provided assistance to U.S. Attorneys in civil and criminal proceedings involving Coast Guard matters, and litigation support to NOAA fisheries enforcement attorney. Served as agency legal liaison to EPA, ICE, U.S. Attorney, State AG.

2006-2012

Collateral appointment, military trial court judge

- As a military judge, ruled on preliminary motions, conducted pre-hearing conferences, issued subpoenas, conducted hearings (including written and/or oral testimony and cross-examination), reviewed briefs, presided over jury trials, prepared and issued decisions, along with written findings of fact and conclusions of law.

2002-2006

Professor, Coast Guard Academy, New London, CT

- Taught and served as the course coordinator of two core law courses, Criminal Justice and Maritime Law Enforcement. Educated 500+ future Coast Guard leaders on subjects based on the law of the sea and maritime governance.
- Founder and faculty advisor, Cadet Law Society. Founder and coach, Academy mock trial team. Academic advisor.

1998-2002

Personnel Action Team Leader, Casualty Investigator, Marine Safety Office New Orleans, LA

- Sole attorney and supervisor of 5-officer team responsible for taking administrative action before Coast Guard Administrative Law Judges to suspend or revoke the licenses of merchant mariners who engaged in acts of misconduct, negligence, drug abuse, violation of law or regulation, incompetence, and the like. Served as lead agency representative in all contested cases. Oversaw completion of over 1300 cases, 15% of Coast Guard's total during this period.
- Qualified Coast Guard marine casualty investigator; on-scene responder to scores of marine casualties, up to and including multiple fatalities; served as one-man formal investigation officer (IO) in case involving 4 designated parties in

interest, 18 witnesses, 100+ exhibits; served as legal advisor to one-man formal IO in 5-fatality collision.

1994-1998

**Staff Attorney, Maintenance and Logistics
Command Atlantic, Norfolk, VA**

- Provided full range of legal support to Atlantic Area/Fifth Coast Guard District units and personnel, e.g. drafting regulations, ethics advice, operational law advice.
- Prosecutor and defense counsel at more than 50 courts-martial; represented 80+ service members at administrative discharge boards.

1985-1994

U. S. Navy Surface Line Officer

- Qualified Surface Warfare Officer, Engineering Officer of the Watch. Served as electrical officer, main propulsion division officer, main propulsion assistant.

EDUCATION

University of Florida, 1990-1993 – JD (with honors).

University of Virginia, 1980-1984 – BA in biology.

Naval Justice School, 1994 (3rd out of 54 attorneys)

LICENSURE

Member, Maryland bar (good standing December 1993 – present).

AFFILIATION

Of Counsel, Hamilton, Miller & Birthsel, Attorneys at Law

Senior regulatory consultant, Independent Maritime Consulting LLC

Adjunct professor, Roger Williams University School of Law, Marine Affairs Institute, 2010-2015 (taught 3-credit Law of the Sea elective).

Adjunct professor, University of Rhode Island, Department of Marine Affairs, 2015-2016 (taught 3-credit International Oceans Law elective).

SELECT PUBLICATIONS

2018 Author, “Uninvited and Unwelcome: The S.S. *Appam* and the U.S. Law of Neutrality,” *European Journal of International Law*, vol. 29 (2018) no. 2.

2018 Author, “Block 9: Flashpoint for the Next Lebanon War?,” *Tablet* magazine online

2017 Lead author and editor, “Technical Analysis of Vessel Discharges in the Northern Bering Sea and Bering Strait Region,” commissioned by The Pew Charitable Trusts

2017 Author, “De-mystifying the targeting process for Port State Control exams,” *Professional Mariner*, May 2, 2017

This article was referenced and linked in IMO’s monthly *Current Awareness Bulletin* published by the Maritime Knowledge Centre, May 2017

2017 Lead author and editor, U.S. submission presented to 11-nation “Enhancing Maritime Safety Workshop,” Singapore, April 2017, sponsored by Asia-Pacific Center for Security Studies (DKI-APCSS) and S. Rajaratnam School of International Studies (RSIS)

2017 Author, “US type approval changes landscape for BWMS compliance extensions,” *Professional Mariner*, Feb. 27, 2017

2017 Co-author, “The Central Arctic Ocean - Preventing Another Tragedy of the Commons,” 53 *Polar Record*, No. 1, January 2017

2016 Author, “In-Depth Insight: The United States Coast Guard BWMS Type Approval Review Checklist,” *Ship Efficiency Review*, October 29, 2016

2016 Author, “In The Spotlight: U.S. Coast Guard Ballast Water Compliance Extension Requests,” *Ship Efficiency Review*, September 30, 2016

2016 Author, “US Coast Guard issues new guidance regarding ballast water alternate management systems,” *Ballast Water Treatment Technology*, July 29, 2016

2015 Author, “Rum Row: The Sinking of the Rum Runner *I’m Alone*,” 24 *Tulane Journal of International and Comparative Law*, Winter 2015

2015 Author, “Fishy Business: The High Seas Boarding and Inspection Regime in the Western and Central Pacific Ocean,” *Ocean Developments and International Law*, vol. 64, issue 4 (2015)

2015 Author, “Developments in the Law of Piracy,” 13 *Benedict’s Maritime Bulletin* page 117 (Third Quarter 2015)

2014 Author, “A Maelstrom of International Law and Intrigue: The Remarkable Voyage of the S.S. City of Flint,” *Journal of American Legal History*, Vol. 54, Issue 1 (2014)

This article was selected as one of two long articles nationwide to receive the 2014 Green Bag “exemplary legal writing” award

2013 Author, “Legal Issues Relating to Unmanned Maritime Systems,” monograph, published on-line by U.S. Naval War College, International Law Department, February 2013

This publication was cited by the United Nations Institute for Disarmament Research in its analysis entitled “The Weaponization of Increasingly Autonomous Technologies in the Maritime Environment: Testing the Waters”

2012 Co-editor, *Non-International Armed Conflict in the Twenty-First Century*, U.S. Naval War College International Law Studies (the “Blue Book”), vol. 88, Kenneth Watkin & Andrew Norris, eds., (Newport: U.S. Naval War College, 2012)

2011 Author, “The Other Law of the Sea,” *Naval War College Review*, summer 2011

2010 Author, “The Fight for Fish,” *Naval Institute Proceedings*, August 2010

2009 Author, “Bilateral Agreements: They’re Not Just for Drugs Anymore,” *Proceedings of the Marine Safety & Security Council*, summer 2009

2009 Author, “Four Questions Simplify the Use of Force,” *Naval Institute Proceedings*, June 2009

SELECT SPEAKING AND OTHER ENGAGEMENTS 2015-current

Selected by Defense Institute of International Legal Studies to create a lesson plan on maritime drug interdiction to support international training and engagement, 2018

Law panel moderator, Combined Joint Operations from the Sea Centre of Excellence Maritime Security Regimes Roundtable, themed “Exploiting Synergies to Improve Delivery of Global Maritime Situational Awareness,” April 2018.

Keynote speaker, Star Island International Affairs Conference - “The Oceans: Protecting an International Resource,” July 2017

Panelist, Environmental Crimes Prosecutions, Maritime Law Association, New York, May 2017.

U.S. delegation leader at 11-nation “Enhancing Maritime Safety Workshop” in Singapore, sponsored by Asia-Pacific Center for Security Studies, April 2017.

Keynote speaker, Marine Technology and the North symposium, Portland ME, October 2016.

Speaker, Compliance and Enforcement Issues Related to U.S. Ballast Water Regulations, Posidonia Maritime Conference, Athens, Greece, June 2016.

Speaker, Cooperative Regional Fisheries Mechanisms, Asia-Pacific Center for Security Studies-sponsored trip to address Malaysian, Vietnamese, and Indonesian officials, March 2016.

Speaker, Legal Issues Associated with Unmanned Maritime Systems, Institute for Defense and Government Advancement Unmanned Maritime System Conference, Washington D.C., December 2015.

Speaker, Legal Developments in the Law of Neutrality During World War I, Oxford University symposium, September 2015.